

30(b)(6) Chu Combined Designations

Designation List Report



Chu, Karen

2022-12-16

Our Designations	01:02:42
Their Designations	00:12:05
TOTAL RUN TIME	01:14:48



Documents linked to video:

D200
D202
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ID: KC7


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DESIGNATION	SOURCE	DURATION	ID
5:12 - 5:23	Chu, Karen 2022-12-16 5:12 K A R E N C H U, the Witness herein, 5:13 having first been duly sworn by the 5:14 Notary Public, was examined and testified 5:15 as follows: 5:16 EXAMINATION BY MS. MAZZOCHI: 5:17 Q. Thank you. Good morning, 5:18 Ms. Chu, my name is Deanne Mazzochi. 5:19 Can you please state your full 5:20 name and address for the record. 5:21 A. Yes, Karen Chu, and my home 5:22 address is 73 Richbell Road, White 5:23 Plains, New York 10605.	00:00:15	KC7.1
11:21 - 12:07	Chu, Karen 2022-12-16 11:21 Q. And then what was your initial 11:22 role and responsibilities at Regeneron? 11:23 A. So when I joined the company I 11:24 joined as a senior clinical trial 11:25 manager. And then as is true, I think, 12:01 for a lot of small companies, in that 12:02 role wore several different hats and had 12:03 a broad range of responsibilities as it 12:04 related to clinical development. And 12:05 over the years moved into more of a 12:06 clinical project management role and then 12:07 finally into my current role.	00:00:34	KC7.2
12:08 - 13:22	Chu, Karen 2022-12-16 12:08 Q. Okay. And was your initial 12:09 title, what was it, director of 12:10 therapeutic area, project management? 12:11 A. So that was not my first title 12:12 at Regeneron. 12:13 Q. Okay. What was your first 12:14 title? 12:15 A. My recollection is that my 12:16 first title was senior clinical trial 12:17 manager. But the director of therapeutic 12:18 area project management was a promotion 12:19 into a broader clinical project 12:20 management role.	00:01:52	KC7.3

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DESIGNATION	SOURCE	DURATION	ID
	12:21 Q. And then did you ever have any		
	12:22 individuals who reported to you in those		
	12:23 roles?		
	12:24 A. At the time that I was a		
	12:25 clinical trial manager I had a group of		
	13:01 people that reported to me who, who were		
	13:02 more junior clinical trial managers and		
	13:03 involved in the operations of the		
	13:04 clinical trials.		
	13:05 Q. Okay. How do you differentiate		
	13:06 what your role was versus what you call		
	13:07 the operations of the clinical trials?		
	13:08 A. So within clinical research,		
	13:09 there are several people who contribute		
	13:10 to any aspect of conducting a clinical		
	13:11 trial. So the actual operations of the		
	13:12 clinical trial, which includes everything		
	13:13 from ensuring that clinical study sites		
	13:14 are identified and trained appropriately		
	13:15 to providing supplies for the clinical		
	13:16 study sites, to deciding which		
	13:17 laboratories to use or how labs will be		
	13:18 collected. That typically is considered		
	13:19 part of the operations role. So they're		
	13:20 really, that, you know, they really		
	13:21 oversee the actual execution of the		
	13:22 clinical trials.		
14:10 - 14:14	Chu, Karen 2022-12-16	00:00:11	KC7.4
	14:10 Q. Let's focus on VEGF-Trip. If I		
	14:11 call VEGF-Trip aflibercept, is that all		
	14:12 right as well?		
	14:13 A. That's all right. I understand		
	14:14 it to be the same molecule.		
17:20 - 17:23	Chu, Karen 2022-12-16	00:00:01	KC7.5
 D200.1	17:20 (Defendant's Exhibit 200,		
	17:21 Plaintiff's Rule 26(a) Initial		
	17:22 Disclosures, was so marked for		
	17:23 identification, as of this date.)		
18:06 - 18:08	Chu, Karen 2022-12-16	00:00:06	KC7.6
	18:06 A. So I can confirm that the		

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DESIGNATION	SOURCE	DURATION	ID
	18:07 document says Plaintiff's Rule 26(a)		
	18:08 Initial Disclosures.		
31:05 - 31:10	Chu, Karen 2022-12-16	00:00:17	KC7.7
 Clear	31:05 Q. Sure. Again, I'm just trying		
	31:06 to get at what are identified as alleged		
	31:07 inventions in Defendant's Exhibit 4, the		
	31:08 '601 patent. You said that in your role		
	31:09 you participated in the design of the		
	31:10 studies, for example.		
31:11 - 31:15	Chu, Karen 2022-12-16	00:00:15	KC7.8
	31:11 Can you tell me anything that		
	31:12 you recall about anything inventive or		
	31:13 unique, or new or different about those		
	31:14 particular trials that relate to the		
	31:15 inventions set forth in the '601 patent?		
31:19 - 32:04	Chu, Karen 2022-12-16	00:00:34	KC7.9
	31:19 A. Certainly with every new		
	31:20 molecule, the properties of the molecule		
	31:21 as well as considerations around its		
	31:22 clinical use go into the design of any		
	31:23 trial.		
	31:24 And Eylea represented a new		
	31:25 anti-VEGF treatment that we felt had real		
	32:01 potential advantages and designed the		
	32:02 trial in a way that we felt we could		
	32:03 demonstrate those unique properties to		
	32:04 the best extent possible.		
34:18 - 34:21	Chu, Karen 2022-12-16	00:00:10	KC7.10
	34:18 Q. And is it fair to say that your		
	34:19 clinical trials were designed to try to		
	34:20 optimize or maximize the chance of		
	34:21 success?		
34:24 - 35:01	Chu, Karen 2022-12-16	00:00:11	KC7.11
	34:24 A. So I think it's true that in		
	34:25 clinical development you're always trying		
	35:01 to maximize your chances of success.		
35:02 - 35:04	Chu, Karen 2022-12-16	00:00:09	KC7.12
	35:02 Q. Would Regeneron have followed		
	35:03 or pursued a clinical trial that it		

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DESIGNATION	SOURCE	DURATION	ID
	35:04 thought it was going to fail?		
35:07 - 35:23	Chu, Karen 2022-12-16	00:00:52	KC7.13
	35:07 A. So there is always a risk of		
	35:08 failure. Clearly, you know, the --		
	35:09 especially for Phase III trials, there is		
	35:10 a statistical threshold that you must		
	35:11 meet and there's always a chance that you		
	35:12 would not meet that for various reasons.		
	35:13 So I don't think it's true that		
	35:14 Regeneron would not have pursued a trial		
	35:15 that had a chance of failure.		
	35:16 Q. Yeah, maybe we can phrase it		
	35:17 this way. Is it fair to say that in your		
	35:18 time at Regeneron, if Regeneron was going		
	35:19 to pursue a clinical trial, they believed		
	35:20 they would be able to meet that, the		
	35:21 clinical endpoints they put in place?		
	35:22 They wouldn't have spent the money on a		
	35:23 clinical trial if they didn't?		
36:01 - 36:06	Chu, Karen 2022-12-16	00:00:14	KC7.14
	36:01 A. So, again, every clinical		
	36:02 trial, you know, we try to design it for		
	36:03 success. But there is always a risk that		
	36:04 a clinical trial would fail for one		
	36:05 reason or another, whether that's safety		
	36:06 or efficacy.		
36:07 - 36:10	Chu, Karen 2022-12-16	00:00:08	KC7.15
	36:07 Q. Okay. When it comes to		
	36:08 aflibercept, were there any clinical		
	36:09 trials you designed that led to failure		
	36:10 as opposed to success?		
36:13 - 36:14	Chu, Karen 2022-12-16	00:00:03	KC7.16
	36:13 Q. With regard to the		
	36:14 ophthalmology category?		
36:16 - 36:19	Chu, Karen 2022-12-16	00:00:14	KC7.17
	36:16 A. Can I ask for some limitations		
	36:17 on the extent of my answer? Is there a		
	36:18 time frame that we are referring to?		
	36:19 Q. Sure, let's say 2006 forward.		


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DESIGNATION	SOURCE	DURATION	ID
36:21 - 37:18	Chu, Karen 2022-12-16 36:21 A. So actually, most recently 36:22 Regeneron has reported two clinical 36:23 trials with aflibercept that did not meet 36:24 their primary endpoint. 36:25 Q. And which were those? 37:01 A. Those are trials in the 37:02 treatment of retinopathy of prematurity. 37:03 Q. And what was the dosing regimen 37:04 for those? 37:05 A. It's .4 milligrams either 37:06 unilaterally or bilaterally for up to 37:07 three monthly doses. 37:08 Q. When you say for up to three 37:09 monthly doses, you mean with three 37:10 monthly doses or doses separated by three 37:11 months between them? 37:12 A. Sorry. In retinopathy of 37:13 prematurity physicians treat initially 37:14 with a single dose. If that does not 37:15 regress the retinopathy of prematurity 37:16 sufficiently, they can give a second dose 37:17 a month later and a third dose a month 37:18 later with similar considerations.	00:01:07	KC7.18
39:19 - 40:01	Chu, Karen 2022-12-16 39:19 Q. Okay. So besides this 39:20 particular study that Regeneron conducted 39:21 for the -- at the request of the FDA for 39:22 pediatric patients, are there any other 39:23 studies that Regeneron has pursued for 39:24 aflibercept in the eye that have failed 39:25 to meet their clinical endpoints? 40:01 A. Not that I'm aware of.	00:00:23	KC7.19
41:09 - 43:01	Chu, Karen 2022-12-16 41:09 Q. Well, let's take a look at a 41:10 document that I will mark -- do you have 41:11 an understanding that you've been 41:12 designated to testify on behalf of 41:13 Regeneron as what's referred to as a Rule 41:14 30(b)(6) witness?	00:01:42	KC7.20

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DESIGNATION	SOURCE	DURATION	ID
	<p>41:15 MS. OBERWETTER: I'm just going</p> <p>41:16 to interpose for a moment. You know,</p> <p>41:17 you all have our position on the</p> <p>41:18 30(b)(6) topics that you sent over,</p> <p>41:19 which are really two things, that the</p> <p>41:20 notice is abusively overbroad and</p> <p>41:21 defective. In our view it's not a</p> <p>41:22 valid 30(b)(6) notice.</p> <p>41:23 It is also the case that we are</p> <p>41:24 trying to get you the information that</p> <p>41:25 you need and that you're entitled to</p> <p>42:01 in this case and this witness is well</p> <p>42:02 equipped to do that. So I don't know</p> <p>42:03 exactly how you're intending to use</p> <p>42:04 the 30(b)(6) topics in this</p> <p>42:05 examination. You obviously have our</p> <p>42:06 objections on those.</p> <p>42:07 So I'll let you proceed. I may</p> <p>42:08 ask for a running objection so that I</p> <p>42:09 do not interfere with your questions.</p> <p>42:10 MS. MAZZOCHI: I understand that</p> <p>42:11 Regeneron has put in the record, in a</p> <p>42:12 letter addressed to Mylan, that they</p> <p>42:13 object in various ways to our 30(b)(6)</p> <p>42:14 deposition topics. But nonetheless,</p> <p>42:15 Regeneron has indicated that Ms. Chu</p> <p>42:16 would be identified as a responsive</p> <p>42:17 witness for various of these topics.</p> <p>42:18 I understand you have an objection to</p> <p>42:19 them generally. Let's try to at least</p> <p>42:20 go through them and see what Ms. Chu</p> <p>42:21 actually has some knowledge and can</p> <p>42:22 testify to.</p> <p>42:23 MS. OBERWETTER: I would say our</p> <p>42:24 position is not exactly as you just</p> <p>42:25 stated. It's as set forth in the</p> <p>43:01 letter that I sent.</p>		
43:20 - 44:01	Chu, Karen 2022-12-16	00:00:15	KC7.21
 D202.1	<p>43:20 Q. All right. Ms. Chu, can you</p> <p>43:21 just confirm that you have a document</p>		

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DESIGNATION	SOURCE	DURATION	ID
	43:22 before you marked DX 202 that is marked		
	43:23 Karen Chu, 30(b)(6) deposition topics?		
	43:24 A. Yes, the title of the document		
	43:25 is "Karen Chu 30(b)(6) deposition		
	44:01 topics."		
49:03 - 49:09  Clear	Chu, Karen 2022-12-16	00:00:17	KC7.22
	49:03 Q. Right. But who was the		
	49:04 individual who ultimately came up with		
	49:05 the idea of dosing 2 milligrams		
	49:06 approximately every four weeks for the		
	49:07 first three months and then the 2		
	49:08 milligram dose approximately every eight		
	49:09 weeks once every two months thereafter?		
49:13 - 50:02	Chu, Karen 2022-12-16	00:00:40	KC7.23
	49:13 A. So again, Regeneron operates in		
	49:14 a, you know, cross-functional team		
	49:15 environment, so there was input given by		
	49:16 many different functions and many		
	49:17 different people. But George Yancopoulos		
	49:18 and Len Schleifer were definitely heavily		
	49:19 involved in these discussions and no		
	49:20 approval would have been needed to be		
	49:21 given by George to move ahead with the		
	49:22 study design.		
	49:23 Q. Right. I understand they had		
	49:24 to give approval, but who actually came		
	49:25 up with the idea of this particular		
	50:01 regimen in Regeneron's view?		
	50:02 A. I don't recall.		
54:14 - 54:19	Chu, Karen 2022-12-16	00:00:26	KC7.24
	54:14 Q. All right. So Ms. Chu, when it		
	54:15 comes to Regeneron's position with regard		
	54:16 to the '601 patent, does Regeneron have a		
	54:17 position as to who was responsible for		
	54:18 the conception of the full claims set		
	54:19 forth in Claim 1 of the '601 patent?		
54:23 - 55:03	Chu, Karen 2022-12-16	00:00:16	KC7.25
	54:23 A. George Yancopoulos is the named		
	54:24 inventor on the '601 patent.		

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DESIGNATION	SOURCE	DURATION	ID
	54:25 Q. And why does Regeneron believe 55:01 that George Yancopoulos is the person who 55:02 conceived of the methods set forth in 55:03 Claim 1 of the '601 patent?		
55:07 - 55:21	Chu, Karen 2022-12-16	00:00:46	KC7.26
	55:07 A. George has always and continues 55:08 to play a very hands-on role in all 55:09 research and development, including the 55:10 development of aflibercept, and he was 55:11 personally involved in many, many 55:12 discussions related to the development of 55:13 aflibercept across all phases of clinical 55:14 trials, including the design of the Phase 55:15 III studies. 55:16 Q. So is it Regeneron's position 55:17 that the reason -- that the reason for 55:18 George Yancopoulos being the named 55:19 inventor is because he's the one who did 55:20 the signoff on the Phase III clinical 55:21 design study?		
55:25 - 56:06	Chu, Karen 2022-12-16	00:00:19	KC7.27
	55:25 Q. Sorry, Phase III clinical study 56:01 design. 56:02 A. So my knowledge is that George 56:03 had tremendous input and ultimately it 56:04 was his decision to move forward with the 56:05 final study design for the VIEW 1 and the 56:06 VIEW 2 studies.		
56:23 - 57:04	Chu, Karen 2022-12-16	00:00:17	KC7.28
	56:23 Q. Are there any documents that 56:24 showed that it was George Yancopoulos as 56:25 opposed to someone else who specifically 57:01 put together the 2 milligrams every four 57:02 weeks for the first six months followed 57:03 by 2 milligrams once every eight weeks or 57:04 every two months thereafter?		
57:10 - 57:11	Chu, Karen 2022-12-16	00:00:04	KC7.29
	57:10 A. Off the top of my head, I don't 57:11 recall specific documents.		

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DESIGNATION	SOURCE	DURATION	ID
64:18 - 64:20	Chu, Karen 2022-12-16 64:18 Q. Did you try to reach out to 64:19 Dr. Cedarbaum to prepare as a 30(b)(6) 64:20 witness?	00:00:06	KC7.30
64:24 - 65:07	Chu, Karen 2022-12-16 64:24 A. I did not reach out to 64:25 Dr. Cedarbaum in preparation for this 65:01 deposition. 65:02 Q. What about Mr. Ingerman, Avner 65:03 Ingerman? 65:04 A. Right, Avner Ingerman. 65:05 Q. Wasn't he also one of the 65:06 individuals who was in favor of the 65:07 eight-week interval?	00:00:18	KC7.31
65:11 - 65:17	Chu, Karen 2022-12-16 65:11 A. My recollection of 65:12 Dr. Ingerman's position at that time is 65:13 that he was lobbying for an as-needed or 65:14 PRN dosing regimen, although he was part 65:15 of many discussions about alternative 65:16 dosing regimens that could be employed. 65:17 Q. Such as?	00:00:22	KC7.32
65:21 - 65:22	Chu, Karen 2022-12-16 65:21 A. Such as every eight weeks or 65:22 other potential dosing regimens.	00:00:05	KC7.33
73:21 - 73:23	Chu, Karen 2022-12-16 73:21 Q. And what within the visual 73:22 acuity data prompted shortening the 73:23 interval from 12 weeks to eight?	00:00:09	KC7.34
74:01 - 74:17	Chu, Karen 2022-12-16 74:01 A. So within the visual acuity 74:02 data, even though visual acuity is a 74:03 highly variable measure and this was a 74:04 relatively small study, in that there 74:05 were about 30 patients per group, we 74:06 looked for trends to inform us of what's 74:07 happening. And my recollection is that 74:08 the most important aspect of the visual 74:09 acuity was that the groups that were	00:00:44	KC7.35

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DESIGNATION	SOURCE	DURATION	ID
	74:10 dosed with monthly injections first		
	74:11 overall had a greater gain in visual		
	74:12 acuity.		
	74:13 Secondly, that when those		
	74:14 patients were allowed to go longer than		
	74:15 four weeks without a dose, we saw some		
	74:16 decline in visual acuity over that		
	74:17 period.		
74:18 - 74:20	Chu, Karen 2022-12-16	00:00:09	KC7.36
	74:18 Q. Okay. And was the period a		
	74:19 12-week period, an eight-week period, or		
	74:20 was it a PRN period?		
74:24 - 75:02	Chu, Karen 2022-12-16	00:00:13	KC7.37
	74:24 A. So in this study, after week		
	74:25 12, patients were dosed PRN. So the		
	75:01 duration between that week 12 dose and		
	75:02 subsequent doses was variable.		
75:21 - 75:23	Chu, Karen 2022-12-16	00:00:06	KC7.38
	75:21 Q. Okay. Well, why go with eight		
	75:22 weeks as opposed to six weeks or just		
	75:23 sticking with monthly?		
76:02 - 76:23	Chu, Karen 2022-12-16	00:01:06	KC7.39
	76:02 A. So we did include two monthly		
	76:03 dosing groups in the VIEW 1 and VIEW 2		
	76:04 study. We tested two separate doses, .5		
	76:05 milligrams and 2 milligrams. As I		
	76:06 mentioned before, there were many		
	76:07 considerations that went into the study		
	76:08 design. And some of those considerations		
	76:09 have to do with the constraints of study		
	76:10 conduct.		
	76:11 So one aspect of the study is		
	76:12 we must conduct them as what we called		
	76:13 double-mask studies, and we perform sham		
	76:14 injections at visits where patients are		
	76:15 not receiving an active injection. And		
	76:16 it was impractical to include a group		
	76:17 where we had a six-week dosing interval		
	76:18 because it would have necessitated visits		



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DESIGNATION	SOURCE	DURATION	ID
	76:19 essentially every two weeks for all		
	76:20 patients.		
	76:21 Q. So the every eight weeks just		
	76:22 made the clinical trial design easier in		
	76:23 terms of maintaining the mask?		
77:01 - 77:04	Chu, Karen 2022-12-16	00:00:13	KC7.40
	77:01 A. It was both a dosing interval		
	77:02 that we felt was supported by the data		
	77:03 and also created a more practical way to		
	77:04 conduct the study.		
79:16 - 79:25	Chu, Karen 2022-12-16	00:00:28	KC7.41
	79:16 Q. Now, in the '601 patent, Claim		
	79:17 10, we have the same dosing regimen, but		
	79:18 this time it's for a method of treating		
	79:19 diabetic macular edema in a patient in		
	79:20 need thereof.		
	79:21 Who was the one who -- what is		
	79:22 Regeneron's position as to who was the		
	79:23 person who actually came up with the idea		
	79:24 of applying this regimen to the DME		
	79:25 indication?		
80:04 - 80:18	Chu, Karen 2022-12-16	00:00:55	KC7.42
	80:04 A. So this regimen is different in		
	80:05 that it is for 2 milligrams given every		
	80:06 four weeks for the first five injections,		
	80:07 followed by approximately once every		
	80:08 eight weeks or every two months. And my		
	80:09 recollection is that, again, there were		
	80:10 several discussions about the optimal		
	80:11 study design for treating diabetic		
	80:12 macular edema. And those conversations		
	80:13 would have included both people from the		
	80:14 clinical team, as well as senior		
	80:15 management.		
	80:16 Q. Right. Who decided that the		
	80:17 dosing was going to be for the first five		
	80:18 injections as opposed to three or four?		
80:22 - 80:24	Chu, Karen 2022-12-16	00:00:07	KC7.43
	80:22 A. My recollection is that George		

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DESIGNATION	SOURCE	DURATION	ID
	80:23 Yancopoulos made that decision.		
	80:24 Q. Is that documented anywhere?		
81:03 - 81:04	Chu, Karen 2022-12-16	00:00:03	KC7.44
	81:03 A. I don't recall if there is		
	81:04 specific documentation of that.		
88:08 - 88:12	Chu, Karen 2022-12-16	00:00:15	KC7.45
	88:08 Q. If you can take a look at the		
	88:09 '601 patent, is the clinical trial		
	88:10 protocol for the Phase III VIVID or VISTA		
	88:11 studies set forth in any of the patent		
	88:12 examples?		
88:15 - 88:19	Chu, Karen 2022-12-16	00:00:13	KC7.46
	88:15 A. To answer that question, I		
	88:16 would have to go through the entire		
	88:17 patent, is that something I should do?		
	88:18 Q. Sure. You can start with		
	88:19 example 1 which begins at Column 8.		
88:20 - 89:06	Chu, Karen 2022-12-16	00:00:37	KC7.47
	88:20 (Witness reviews document.)		
	88:21 A. So in my review of patent '601,		
	88:22 I do not see a description or the VIVID		
	88:23 and VISTA trials given as an example.		
	88:24 But I do see the Phase II clinical trial		
	88:25 in diabetic macular edema described as		
	89:01 example 5.		
	89:02 Q. And if I understand you, it was		
	89:03 the data from this Phase II study that		
	89:04 justified the dosing regimen for the		
	89:05 VIVID and VISTA studies for diabetic		
	89:06 retinopathy?		
89:09 - 89:15	Chu, Karen 2022-12-16	00:00:32	KC7.48
	89:09 A. So data from this Phase II		
	89:10 study did inform decisions regarding the		
	89:11 VIVID and VISTA study designs.		
	89:12 Q. Okay. And why is it that		
	89:13 Regeneron believed that the DME data		
	89:14 could be transferred over to the diabetic		
	89:15 retinopathy indication?		
89:18 - 90:06	Chu, Karen 2022-12-16	00:00:41	KC7.49

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DESIGNATION	SOURCE	DURATION	ID
	<p>89:18 A. So as I mentioned, data from</p> <p>89:19 the VIVID and VISTA studies included a</p> <p>89:20 secondary endpoint of a proportion of</p> <p>89:21 patients with two-or-more-step</p> <p>89:22 improvement in diabetic retinopathy.</p> <p>89:23 Patients with diabetic macular</p> <p>89:24 edema do have underlying diabetic</p> <p>89:25 retinopathy at various severities. And</p> <p>90:01 we did see a statistically significant</p> <p>90:02 outcome of improvement in patients that</p> <p>90:03 were treated with aflibercept in the</p> <p>90:04 VIVID and VISTA studies -- I'm sorry,</p> <p>90:05 yes, in the VIVID and VISTA studies,</p> <p>90:06 sorry.</p>		KC7.49
98:05 - 98:12	Chu, Karen 2022-12-16	00:00:07	KC7.50
 D204.1	<p>98:05 (Defendant's Exhibit 204,</p> <p>98:06 Document Bates stamped MYL-AFL 5010</p> <p>98:07 through 18, was so marked for</p> <p>98:08 identification, as of this date.)</p> <p>98:09 Q. Let me know when you have that</p> <p>98:10 exhibit in front of you.</p> <p>98:11 A. I have Exhibit 204 in front of</p> <p>98:12 me.</p>		
111:06 - 111:16	Chu, Karen 2022-12-16	00:00:38	KC7.51
 Clear	<p>111:06 Q. I would like to take a look at</p> <p>111:07 Claim 6 of the '601 patent.</p> <p>111:08 A. Okay. I see that.</p> <p>111:09 Q. Yeah, and so here again, I just</p> <p>111:10 have the question, what can be done to</p> <p>111:11 the dosing regimen in Claim 1 to ensure</p> <p>111:12 that a patient is going to be able to</p> <p>111:13 meet these requirements of Claim 5 and</p> <p>111:14 Claim 6, and specifically using this</p> <p>111:15 measurement technique that's set forth in</p> <p>111:16 Claim 6?</p>		
111:19 - 111:24	Chu, Karen 2022-12-16	00:00:23	KC7.52
	<p>111:19 A. So I would respond the same</p> <p>111:20 way, that the response to treatment is</p> <p>111:21 highly variable with individual patients.</p> <p>111:22 Q. How are we going to know then</p>		

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DESIGNATION	SOURCE	DURATION	ID
	111:23 if an individual patient actually meets 111:24 the standard?		
112:03 - 112:10	Chu, Karen 2022-12-16	00:00:20	KC7.53
	112:03 A. So in the treatment and 112:04 monitoring of an individual patient with 112:05 neovascular age-related macular 112:06 degeneration, visual acuity would be 112:07 assessed prior to treatment initiation, 112:08 and at an interval deemed appropriate by 112:09 the treating physician during the course 112:10 of treatment.		
115:01 - 115:03	Chu, Karen 2022-12-16	00:00:09	KC7.54
 D205.1	115:01 Q. Ms. Chu, can you take a look at 115:02 DX 205 and confirm it's the FDA-approved 115:03 EYLEA labeling?		
115:07 - 115:09	Chu, Karen 2022-12-16	00:00:14	KC7.55
	115:07 A. So I have Exhibit 205 in front 115:08 of me and this appears to be the EYLEA 115:09 USPI revised as of May 2019.		
130:11 - 130:18	Chu, Karen 2022-12-16	00:00:26	KC7.56
 Clear	130:11 Q. Okay. Well, are you aware of 130:12 any change to the formulation description 130:13 that appears here as compared to when the 130:14 EYLEA product was first approved in 2011? 130:15 A. In my experience and knowledge, 130:16 I'm not aware of any changes to the 130:17 formulation as described here in the 130:18 USPI.		
137:20 - 138:02	Chu, Karen 2022-12-16	00:00:15	KC7.57
	137:20 Q. Okay. And then we got George 137:21 Yancopoulos, who is listed at least on 137:22 this occupancy chart the CSO; is he still 137:23 the CSO today or does he have a better 137:24 title? 137:25 A. My understanding today, he 138:01 still has the title chief scientific 138:02 officer.		
140:23 - 140:25	Chu, Karen 2022-12-16	00:00:05	KC7.58
	140:23 Q. Okay. Anything, though, that		

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DESIGNATION	SOURCE	DURATION	ID
	140:24 would justify having the longer dosing 140:25 interval that you recall?		
141:03 - 141:18	Chu, Karen 2022-12-16 141:03 A. I can't remember if this was 141:04 specifically in Neil's purview, but we 141:05 did know that based on the aflibercept 141:06 molecule, comparing to Lucentis, that it 141:07 did have a longer half life in the eye of 141:08 animals. And so that gave us an 141:09 indication that potentially a longer 141:10 dosing interval might be possible, but 141:11 certainly animal studies are only 141:12 somewhat translatable to human studies. 141:13 Q. And then from Regeneron's 141:14 perspective, which clinical trial was it 141:15 that allowed you to conclude that 141:16 aflibercept might have a longer half life 141:17 in the human eye that might justify a 141:18 longer dosing interval?	00:00:56	KC7.59
141:21 - 142:06	Chu, Karen 2022-12-16 141:21 A. So we -- during the course of 141:22 EYLEA clinical development, we did not 141:23 measure half life in the human eye. That 141:24 would have required sampling from ocular 141:25 fluids, which to do serially in patients 142:01 is very difficult and causes additional 142:02 safety risk for patients. So the data 142:03 from the 0508 or CLEAR-IT 2 study was 142:04 really the clinical data that we looked 142:05 at in order to decide which dosing 142:06 regimens to test in Phase III.	00:00:41	KC7.60
143:14 - 143:22	Chu, Karen 2022-12-16 143:14 Q. Okay. Can you confirm that 143:15 Exhibit 207 is a Friday, January 30th, 143:16 2004 e-mail from Jesse Cedarbaum to you 143:17 and others involving what was described 143:18 as draft VEGF-Trap AMD press and some 143:19 thoughts on the release of an AMD trial? 143:20 A. I see that e-mail is dated 143:21 Friday, January 30th, 2004 and that I'm	00:00:32	KC7.61



D207.1


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DESIGNATION	SOURCE	DURATION	ID
	143:22 one of the recipients of e-mail.		
144:22 - 144:24	Chu, Karen 2022-12-16	00:00:07	KC7.62
	144:22 Q. Okay. Was it common for		
	144:23 Regeneron to prepare press releases when		
	144:24 they were about to start clinical trials?		
145:02 - 145:06	Chu, Karen 2022-12-16	00:00:14	KC7.63
	145:02 A. Regeneron was a small company		
	145:03 back then, so the initiation of a		
	145:04 clinical development program would have		
	145:05 been something that we would have		
	145:06 disclosed.		
150:02 - 150:10	Chu, Karen 2022-12-16	00:00:23	KC7.64
 D209.1	150:02 Do you have Exhibit 209 in		
	150:03 front of you?		
	150:04 A. Yes, I have Exhibit 209 in		
	150:05 front of me.		
	150:06 Q. Can you confirm the top e-mail		
	150:07 was copied to you and others on Tuesday,		
	150:08 August 31st, 2004?		
	150:09 A. Yes, I'm on the cc line of this		
	150:10 e-mail dated August 31st, 2004.		
152:02 - 152:07	Chu, Karen 2022-12-16	00:00:15	KC7.65
 Clear	152:02 Q. Macugen was dosing its product		
	152:03 intravitally, correct?		
	152:04 A. That is correct.		
	152:05 Q. Did these results cause		
	152:06 Regeneron to start thinking more closely		
	152:07 of doing an intravitreal injection?		
152:10 - 152:24	Chu, Karen 2022-12-16	00:00:47	KC7.66
	152:10 A. I believe that the results from		
	152:11 the Macugen trials gave us more		
	152:12 information about the safety and		
	152:13 feasibility of intravitreal injections		
	152:14 given regularly to these, to the -- to		
	152:15 AMD patients over the course of a year of		
	152:16 treatment.		
	152:17 Q. Did the Macugen results give		
	152:18 you any sense that there might be more		
	152:19 willingness in the marketplace to accept		

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DESIGNATION	SOURCE	DURATION	ID
	152:20 an intravitreal injection?		
	152:21 A. The Macugen results from these		
	152:22 Phase III studies definitely supported		
	152:23 that intravitreal administration of a		
	152:24 product in wet AMD patients was possible.		
153:17 - 153:23	Chu, Karen 2022-12-16	00:00:16	KC7.67
	153:17 Q. All right. And at this time it		
	153:18 was known to Regeneron that ranibizumab		
	153:19 was also out there in Phase III trials,		
	153:20 right?		
	153:21 A. Yes, the Lucentis trials were		
	153:22 being conducted concurrently at this		
	153:23 time.		
154:03 - 154:11	Chu, Karen 2022-12-16	00:00:23	KC7.68
	154:03 Q. And it also mentions at the end		
	154:04 of the paragraph that Regeneron's		
	154:05 VEGF-Trap was also currently in clinical		
	154:06 and preclinical trials, right?		
	154:07 A. Yes, the last sentence is		
	154:08 "Other anti-angiogenic agents currently		
	154:09 in clinical and preclinical trials are		
	154:10 Angstrom's A6, OXiGene's CA4P and		
	154:11 Regeneron's VEGF-Trap."		
154:12 - 154:15	Chu, Karen 2022-12-16	00:00:09	KC7.69
	154:12 Q. Okay. At this point in time,		
	154:13 were you looking at the Lucentis dosing		
	154:14 regimen as one that you might want to		
	154:15 copy or emulate?		
154:18 - 154:21	Chu, Karen 2022-12-16	00:00:11	KC7.70
	154:18 A. I would say at this time we		
	154:19 were monitoring the Lucentis clinical		
	154:20 development program closely from a		
	154:21 competitive intelligence perspective.		
157:05 - 157:10	Chu, Karen 2022-12-16	00:00:20	KC7.71
	157:05 Q. And certainly by the time we		
	157:06 got to the 2010 time frame, at the time		
	157:07 that you had submitted your Phase III		
	157:08 clinical trials, ranibizumab had been		
	157:09 shown to produce some consistent vision		

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DESIGNATION	SOURCE	DURATION	ID
157:13 - 157:19	<p>157:10 gain, right, when injected intravitally?</p> <p>Chu, Karen 2022-12-16</p> <p>157:13 A. So the pivotal Lucentis trials</p> <p>157:14 in neovascular AMD were the Anchor and</p> <p>157:15 Marina trials. And they demonstrated</p> <p>157:16 vision gain with ranibizumab dosed every</p> <p>157:17 four weeks or monthly for the -- for a</p> <p>157:18 year, so week 52 was their primary</p> <p>157:19 endpoint.</p>	00:00:19	KC7.72
159:12 - 160:01	<p>Chu, Karen 2022-12-16</p> <p>159:12 (Defendant's Exhibit 210,</p> <p>159:13 Document Bates stamped RGN-EYLEA-MYLAN</p> <p>159:14 540875 through 877, was so marked for</p> <p>159:15 identification, as of this date.)</p> <p>159:16 EXAMINATION (Continued)</p> <p>159:17 BY MS. MAZZOCHI:</p> <p> D210.1 159:18 Q. Do you have that exhibit before</p> <p>159:19 you?</p> <p>159:20 A. Yes, I have Exhibit 210.</p> <p>159:21 Q. Can you confirm that Exhibit</p> <p>159:22 210 contains an e-mail string including</p> <p>159:23 an e-mail from Ilham Zoughi, Z-O-U-G-H-I,</p> <p>159:24 to you and others dated March 3rd, 2005?</p> <p>159:25 A. Yes, I see that I'm a recipient</p> <p>160:01 of this e-mail from Ilham Zoughi.</p>	00:00:27	KC7.73
161:04 - 161:09	<p>Chu, Karen 2022-12-16</p> <p>161:04 Q. If you look at the bottom line,</p> <p>161:05 the author there said -- the study</p> <p>161:06 clinician was quoted as saying, "We have</p> <p>161:07 been injecting anti-VEGF drugs into the</p> <p>161:08 eye for the past three years with very</p> <p>161:09 encouraging results."</p>	00:00:18	KC7.74
161:12 - 161:23	<p>Chu, Karen 2022-12-16</p> <p>161:12 Q. Do you see that?</p> <p>161:13 A. I do see that as part of the</p> <p>161:14 quote here.</p> <p>161:15 Q. Right, and that quote is</p> <p>161:16 attributed to Philip J. Rosenfeld, M.D.,</p> <p>161:17 Ph.D.?</p>	00:00:27	KC7.75

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DESIGNATION	SOURCE	DURATION	ID
	161:18 A. So this e-mail just said		
	161:19 Rosenfeld, which assume to mean Phil		
	161:20 Rosenfeld.		
	161:21 Q. Did Regeneron also reach out to		
	161:22 get input from Phil Rosenfeld in the		
	161:23 context of its clinical trial work?		
162:02 - 162:07	Chu, Karen 2022-12-16	00:00:16	KC7.76
	162:02 A. Dr. Rosenfeld was a respected		
	162:03 key opinion leader in the retina		
	162:04 community, and he is someone that we		
	162:05 interacted with occasionally to discuss		
	162:06 aspects of the clinical development		
	162:07 program.		
 Clear			
162:08 - 162:18	Chu, Karen 2022-12-16	00:00:32	KC7.77
	162:08 Q. Do you recall there being any		
	162:09 impact within Regeneron when it was		
	162:10 reported that Avastin, a VEGF inhibitor,		
	162:11 was producing positive results in the		
	162:12 eye?		
	162:13 A. I don't remember this study or		
	162:14 the data from this study having a		
	162:15 specific impact at Regeneron.		
	162:16 Q. All right. But what about		
	162:17 Avastin generally, the experience that		
	162:18 clinicians were having with Avastin?		
162:21 - 162:25	Chu, Karen 2022-12-16	00:00:13	KC7.78
	162:21 Q. Injecting it into the eye to		
	162:22 get -- to stop vision loss?		
	162:23 A. So this press release is		
	162:24 referring to systemic administration of		
	162:25 Avastin.		
163:01 - 163:14	Chu, Karen 2022-12-16	00:00:43	KC7.79
	163:01 Q. Right.		
	163:02 A. But Dr. Rosenfeld was involved		
	163:03 in running his own investigator-initiated		
	163:04 studies with intravitreal Avastin.		
	163:05 Q. Right, right. And he indicates		
	163:06 in this document at least that he had		
	163:07 been doing that for at least three years?		


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DESIGNATION	SOURCE	DURATION	ID
	163:08 A. That is what the document says.		
	163:09 Q. Right. So did the fact that		
	163:10 someone like Dr. Rosenfeld and others		
	163:11 were injecting Avastin directly into the		
	163:12 eye, did that influence their thinking as		
	163:13 to whether it would be useful to dose		
	163:14 VEGF-Trap into the eye?		
163:17 - 163:22	Chu, Karen 2022-12-16	00:00:17	KC7.80
	163:17 A. Dr. Rosenfeld, as well as other		
	163:18 retinal specialists in the community,		
	163:19 provided information that gave Regeneron		
	163:20 more confidence regarding the feasibility		
	163:21 of moving forward with an intravitreally		
	163:22 delivered product.		
169:13 - 170:15	Chu, Karen 2022-12-16	00:01:34	KC7.81
	169:13 Q. Okay.		
	169:14 A. So the study in example 1 was		
	169:15 referred to as the CLEAR-IT 1 study. The		
	169:16 study in example 2 was the CLEAR-IT 2		
	169:17 study.		
	169:18 Q. Okay. Perfect. Thank you.		
	169:19 And then if we go on to the		
	169:20 next column, example 4, the Phase III		
	169:21 clinical trials, was that the VIEW 1		
	169:22 study or VIEW 2?		
	169:23 A. So this section under example 4		
	169:24 refers to two parallel Phase III clinical		
	169:25 trials carried out to investigate the use		
	170:01 of VEGF-T to treat patients with the		
	170:02 neovascular form of age-related macular		
	170:03 degeneration, so this section appears to		
	170:04 be referring to both the VIEW 1 and the		
	170:05 VIEW 2 studies.		
	170:06 Q. Okay. And then if you can jump		
	170:07 forward to column 14, there is an example		
	170:08 5 provided there. Did that clinical		
	170:09 study also have a name?		
	170:10 A. Example 5 is the Phase II		
	170:11 clinical trial of VEGF-T in subjects with		
	170:12 diabetic macular edema. This study was		


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DESIGNATION	SOURCE	DURATION	ID
	170:13 referred to as the DaVinci trial.		
	170:14 Q. Okay. And then example 6, did		
	170:15 that clinical trial have a name?		
170:16 - 170:23	Chu, Karen 2022-12-16	00:00:24	KC7.82
	170:16 A. So in example 6, it's referring		
	170:17 to a randomized multi-centered double-		
	170:18 masked trial in treatment of patients		
	170:19 with macular edema secondary to CRVO.		
	170:20 And I believe this is referring		
	170:21 to a study that we called the Copernicus		
	170:22 study, although there was a second CRVO		
	170:23 study conducted called the Galileo study.		
171:05 - 171:19	Chu, Karen 2022-12-16	00:00:34	KC7.83
	171:05 (Defendant's Exhibit 212,		
	171:06 Document Bates stamped RGN-EYLEA-MYLAN		
	171:07 634608 through 611, was so marked for		
	171:08 identification, as of this date.)		
 D212.1	171:09 Q. Can you confirm this is a		
	171:10 document with an e-mail string with the		
	171:11 first one dated Sunday, January 8th,		
	171:12 2006, regarding an AMD expert meeting		
	171:13 from Neil Stahl to Jesse Cedarbaum, you		
	171:14 and others?		
	171:15 A. I can confirm that the date of		
	171:16 this e-mail is Sunday, January 8th, 2006.		
	171:17 The subject is regarding AMD expert		
	171:18 meeting. It's from Neil Stahl. And I'm		
	171:19 one of the recipients of this e-mail.		
173:06 - 173:13	Chu, Karen 2022-12-16	00:00:16	KC7.84
	173:06 Q. Okay. One of the other		
	173:07 questions to ask these experts was "Do		
	173:08 they think that the PIER regimen of		
	173:09 Lucentis will work."		
	173:10 Do you see that?		
	173:11 A. I do see that question.		
	173:12 Q. What was your understanding of		
	173:13 the PIER regimen for Lucentis?		
173:17 - 173:23	Chu, Karen 2022-12-16	00:00:24	KC7.85
	173:17 A. So the PIER study was an		



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DESIGNATION	SOURCE	DURATION	ID
	173:18 investigator-initiated study conducted by 173:19 Dr. Phil Rosenfeld that, my understanding 173:20 of that regimen is that it was three 173:21 initial monthly doses of .3 milligrams of 173:22 Lucentis followed by quarterly dosing, so 173:23 every-three-month dosing.		
180:05 - 180:09	Chu, Karen 2022-12-16	00:00:03	KC7.86
	180:05 (Defendant's Exhibit 213, 180:06 Document Bates stamped RGN-EYLEA-MYLAN 180:07 634629 through 632, was so marked for 180:08 identification, as of this date.) 180:09 Q. Let me know when you have that.		
 D213.1			
180:10 - 180:14	Chu, Karen 2022-12-16	00:00:06	KC7.87
	180:10 A. I have Exhibit 213 in front of 180:11 me. 180:12 Q. Are you identified as one of 180:13 the individuals who participated in this 180:14 advisory panel meeting?		
180:17 - 180:17	Chu, Karen 2022-12-16	00:00:03	KC7.88
	180:17 A. Give me a moment to review		
180:18 - 180:19	Chu, Karen 2022-12-16	00:00:06	KC7.89
	180:18 this. I am listed as one of the 180:19 Regeneron attendees for this meeting.		
181:06 - 181:15	Chu, Karen 2022-12-16	00:00:27	KC7.90
	181:06 Q. One of the items listed here 181:07 that Regeneron wanted to get the 181:08 consultant's impressions of was how will 181:09 Lucentis be used in practice, monthly as 181:10 in ANCHOR or MARINA, induction followed 181:11 by quarterly maintenance as in PIER or 181:12 induction followed by PIER and criteria 181:13 based dosing as in SAILOR. 181:14 Do you see that? 181:15 A. I do see that under 3A.		
183:14 - 183:24	Chu, Karen 2022-12-16	00:00:35	KC7.91
	183:14 Q. Now, the title of this was 183:15 "CLEAR-IT 3 Advisory Panel Meeting." 183:16 What was CLEAR-IT 3? 183:17 A. My recollection is that		

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DESIGNATION	SOURCE	DURATION	ID
	183:18 CLEAR-IT 3 was the initial name that		
	183:19 Dr. Cedarbaum wanted to give the Phase		
	183:20 III AMD studies.		
	183:21 Q. So CLEAR-IT 3 eventually became		
	183:22 known as the VIEW 1 and VIEW 2 studies?		
	183:23 A. The Phase III studies were		
	183:24 eventually named VIEW 1 and VIEW 2, yes.		
184:22 - 184:25	Chu, Karen 2022-12-16	00:00:03	KC7.92
 D214.1	184:22 (Defendant's Exhibit 214,		
	184:23 Document Bates stamped RGN-EYLEA-MYLAN		
	184:24 569973 through 975, was so marked for		
	184:25 identification, as of this date.)		
185:04 - 185:12	Chu, Karen 2022-12-16	00:00:27	KC7.176
	185:04 Q. Can you confirm it's dated		
	185:05 Friday, February 10th, 2006 from Srilatha		
	185:06 Vuthoori to you and many others at		
	185:07 Regeneron?		
	185:08 A. This e-mail is dated Friday,		
	185:09 February 10th, 2006. The subject is		
	185:10 "Actions and Decisions VGT Team Meeting."		
	185:11 It's from Srilatha Vuthoori, and I am one		
	185:12 of the recipients.		
191:13 - 191:16	Chu, Karen 2022-12-16	00:00:15	KC7.93
 D215.1	191:13 Q. Okay. Let me give you a		
	191:14 document that has production numbers		
	191:15 MYL-AFL 8703 through 8711, which I will		
	191:16 mark as DX 215.		
191:17 - 192:15	Chu, Karen 2022-12-16	00:00:54	KC7.94
	191:17 (Defendant's Exhibit 215,		
	191:18 Document Bates stamped MYL-AFL 8703		
	191:19 through 8711, was so marked for		
	191:20 identification, as of this date.)		
	191:21 A. I have Exhibit 215 in front of		
	191:22 me.		
	191:23 Q. Do you see the citation in the		
	191:24 upper right-hand corner says "WHO Drug		
	191:25 Information Volume 20, Number 2, 2006"?		
	192:01 A. I see that the document is		
	192:02 labeled in the upper right-hand corner		

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DESIGNATION	SOURCE	DURATION	ID
 D215.4	192:03 "WHO Drug Information Volume 20, number		
	192:04 2, 2006."		
	192:05 Q. And then this was titled,		
	192:06 "International Non-Proprietary Names For		
	192:07 Pharmaceutical Substances."		
	192:08 A. I see the document is titled,		
	192:09 "International Non-Proprietary Names For		
	192:10 Pharmaceutical Substances."		
	192:11 Q. All right. Can you go ahead,		
	192:12 and turn to page 8706 as the Bates		
	192:13 number. It's page 118 within this		
	192:14 volume. And do you see a reference on		
	192:15 this page to aflibercept?		
192:16 - 192:18	Chu, Karen 2022-12-16	00:00:11	KC7.95
	192:16 A. Yes, I see on the second half		
	192:17 of the page there is a reference to		
	192:18 aflibercept.		
193:09 - 193:12	Chu, Karen 2022-12-16	00:00:18	KC7.96
 Clear	193:09 In the context of your clinical		
	193:10 work did you ever use the term		
	193:11 "aflibercept" to refer to any chemical		
	193:12 structure other than VEGF Trap-Eye?		
193:15 - 194:01	Chu, Karen 2022-12-16	00:00:44	KC7.97
	193:15 A. So the terms VEGF-Trap, VGFT,		
	193:16 VEGF Trap-Eye, and aflibercept, depending		
	193:17 on the time period, were used somewhat		
	193:18 synonymously. VEGF Trap-Eye was almost		
	193:19 always used to distinguish between the		
	193:20 systemic formulation of aflibercept		
	193:21 versus the intravitreal formulation.		
	193:22 Q. Right. But the underlying		
	193:23 structure of aflibercept the molecule		
	193:24 didn't change whether it was VEGF		
	193:25 Trap-Eye or just VEGF-Trap or		
	194:01 aflibercept; is that fair?		
194:04 - 194:08	Chu, Karen 2022-12-16	00:00:15	KC7.98
	194:04 A. It is my understanding that the		
	194:05 active ingredient was the same in VEGF		
	194:06 Trap-Eye and aflibercept whether that was		

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DESIGNATION	SOURCE	DURATION	ID
	194:07 referring to the oncology product or the 194:08 intravitreally-delivered product.		
206:04 - 206:08	Chu, Karen 2022-12-16	00:00:14	KC7.99
 D218.1	206:04 MS. MAZZOCHI: Okay. I would 206:05 like to mark as Defendant's Exhibit 206:06 218, a document with production 206:07 numbers RGN-EYLEA-MYLAN 553211 through 206:08 212.		
206:09 - 207:06	Chu, Karen 2022-12-16	00:00:54	KC7.100
	206:09 (Defendant's Exhibit 218, 206:10 Document Bates stamped RGN-EYLEA-MYLAN 206:11 553211 through 212, was so marked for 206:12 identification, as of this date.) 206:13 Q. And can you confirm this is a 206:14 May 9th, 2006 e-mail from Jesse Cedarbaum 206:15 to you and others discussing Rosenfeld's 206:16 Lucentis pronto press release? 206:17 A. This is an e-mail dated 206:18 Tuesday, May 9th, 2006 with "Subject: 206:19 Rosenfeld Lucentis Pronto Press Release," 206:20 from Jesse Cedarbaum and I am listed as 206:21 one of the recipients. 206:22 Q. Now, according to this press 206:23 release it says, "Open label on 206:24 Controlled Study of Lucentis showed 206:25 Improvement in Vision With Five to Six 207:01 Doses At One Year." 207:02 Do you see that? 207:03 A. I see that -- the title is 207:04 "Open Label on Controlled Study of 207:05 Lucentis Showed Improvement in Vision in 207:06 Five to Six Doses in One Year."		
208:03 - 208:18	Chu, Karen 2022-12-16	00:00:42	KC7.101
	208:03 Q. Now, one of the metrics that he 208:04 was using to evaluate vision improvement 208:05 is, according to the second paragraph, 208:06 "Average vision improved in the treated 208:07 eye almost two lines after one year. 208:08 Additional 82 percent of patients had the 208:09 same or better vision after one year and		

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DESIGNATION	SOURCE	DURATION	ID
	208:10 35 percent of patients experienced a 208:11 two-fold improvement of vision as defined 208:12 by gaining three lines of vision on a 208:13 standardized visual acuity chart." 208:14 Do you see that? 208:15 A. I do see where the press 208:16 release refers to an overall average 208:17 vision improved in the treated eye almost 208:18 two lines after one year.		
211:23 - 212:17  Clear	Chu, Karen 2022-12-16 211:23 Q. Do you recall whether anybody 211:24 ever talked about a dosing regimen that 211:25 you thought might be superior to the 212:01 FDA-approved regimen for Lucentis? 212:02 A. We thought it was possible that 212:03 aflibercept could be superior to 212:04 ranibizumab. And the design of the Phase 212:05 III clinical trials was such that we did 212:06 include a 2 milligram every four-week 212:07 dosing group as well as a .5 milligram 212:08 every four-week dosing group with the 212:09 ability, if we met noninferiority in 212:10 those groups, to then be able to test for 212:11 superiority. 212:12 And I should just clarify, that 212:13 that would have been true for all of the 212:14 groups, including the third treatment 212:15 group, which was three initial monthly 212:16 doses followed by a dosing every eight 212:17 weeks.	00:00:59	KC7.102
213:21 - 213:25	Chu, Karen 2022-12-16 213:21 Q. During your time at Regeneron, 213:22 has Regeneron identified any head-to-head 213:23 dosing regimen where it believes 213:24 aflibercept can demonstrate superiority 213:25 to Lucentis --	00:00:19	KC7.103
214:03 - 214:20	Chu, Karen 2022-12-16 214:03 Q. -- in a manner that the FDA or 214:04 clinicians would accept? 214:05 A. In the protocol T study, which	00:00:55	KC7.104

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DESIGNATION	SOURCE	DURATION	ID
	<p>214:06 was a study conducted by the Diabetic</p> <p>214:07 Retinopathy Clinical Research Network</p> <p>214:08 with aflibercept and ranibizumab and</p> <p>214:09 Bevacizumab dosed in the same paradigm,</p> <p>214:10 which was a different paradigm than</p> <p>214:11 Regeneron has tested in our trials,</p> <p>214:12 aflibercept was superior to both</p> <p>214:13 ranibizumab and Bevacizumab.</p> <p>214:14 Q. And in that particular dosing</p> <p>214:15 regimen of aflibercept that you just</p> <p>214:16 mentioned it was shown to be superior to</p> <p>214:17 Lucentis, is that an FDA-approved dosing</p> <p>214:18 regimen or no?</p> <p>214:19 A. That dosing regimen is not</p> <p>214:20 specifically reflected in our labeling.</p>		
215:01 - 215:12	<p>Chu, Karen 2022-12-16</p> <p>215:01 Q. Okay. Do you remember</p> <p>215:02 generally what was, what they were doing</p> <p>215:03 in that one, in terms of did it deviate</p> <p>215:04 from monthly dosing, was it number of</p> <p>215:05 injections?</p> <p>215:06 A. My recollection is it that it</p> <p>215:07 was monthly dosing for a certain number</p> <p>215:08 of doses. And then criteria based dosing</p> <p>215:09 based on the protocol --</p> <p>215:10 Q. Okay.</p> <p>215:11 A. -- which allowed for a longer</p> <p>215:12 treatment interval.</p>	00:00:26	KC7.105
216:02 - 216:15	<p>Chu, Karen 2022-12-16</p> <p>216:02 (Defendant's Exhibit 219,</p> <p>216:03 Document Bates stamped RGN-EYLEA-MYLAN</p> <p>216:04 571549, was so marked for</p> <p>216:05 identification, as of this date.)</p> <p>216:06 Q. And can you confirm this is an</p> <p>216:07 e-mail from Michael Roosevelt to you,</p> <p>216:08 amongst others, dated Tuesday, May 9th,</p> <p>216:09 2006?</p> <p>216:10 A. Okay. I have Exhibit 219 and</p> <p>216:11 the date of the e-mail is Tuesday, May</p> <p>216:12 9th, 2006 with "Subject: Action Items,"</p>	00:00:40	KC7.106



D219.1

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DESIGNATION	SOURCE	DURATION	ID
	216:13 May 9th, 2006, from Michael Roosevelt. 216:14 And I am one of the recipients of this 216:15 e-mail.		
217:05 - 217:09	Chu, Karen 2022-12-16 217:05 Q. Right. And then we have the 217:06 0508 study and that was one of the DME 217:07 studies? 217:08 A. 0508 was the Phase II study in 217:09 wet AMD with intravitreal aflibercept.	00:00:12	KC7.107
218:17 - 218:20	Chu, Karen 2022-12-16 218:17 Q. Okay. And what was the name of 218:18 that trial? 218:19 A. We referred to that as the 218:20 CLEAR-IT 2 trial.	00:00:06	KC7.108
219:04 - 219:24	Chu, Karen 2022-12-16 219:04 Q. Okay. Do you recall any 219:05 concerns expressed internally at 219:06 Regeneron about Aflibercept's ability to 219:07 achieve any efficacy endpoints by the 219:08 12-week -- with a 12-week dosing 219:09 interval? 219:10 A. Are you referring to during the 219:11 ongoing study? 219:12 Q. Yeah, either while the study 219:13 was conducted or afterwards. 219:14 A. So once we had the -- so this 219:15 e-mail is referring to a time when the 219:16 studies were ongoing. Once we received 219:17 data from the studies and analyzed it, we 219:18 did have some concerns that based on the 219:19 OCT data and an assessment of the visual 219:20 acuity data, that the 12-week interval 219:21 was one where we were seeing some loss of 219:22 efficacy over that duration. 219:23 Q. And how were you defining loss 219:24 of efficacy?	00:01:12	KC7.109
220:02 - 220:12	Chu, Karen 2022-12-16 220:02 A. So primarily, we were looking 220:03 at central retinal lesion thickness	00:00:38	KC7.110

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DESIGNATION	SOURCE	DURATION	ID
	220:04 measured by optical coherence tomography		
	220:05 which was a very quantitative measure of		
	220:06 the fluid in the retina. And one aspect		
	220:07 of that data is that we would see a rapid		
	220:08 reduction in retinal fluid after dosing		
	220:09 with aflibercept. And over the longer		
	220:10 time period without treatment, we would		
	220:11 see some of that fluid begin to		
	220:12 reaccumulate.		
222:03 - 222:17	Chu, Karen 2022-12-16	00:00:42	KC7.111
 D220.1	222:03 Q. On this one, I would like to		
	222:04 start with the e-mail at the end of the		
	222:05 chain from George Yancopoulos to you and		
	222:06 others, dated Tuesday, May 16th, 2006.		
	222:07 So let me know when you're there.		
	222:08 A. I have Exhibit 220 in front of		
	222:09 me and I see the e-mail in the string		
	222:10 from George Yancopoulos dated Wednesday,		
	222:11 May 17th, 2006 and I am one of the		
	222:12 recipients.		
	222:13 Q. And they are also talking about		
	222:14 the pronto data, which was		
	222:15 Dr. Rosenfeld's Lucentis study, right?		
	222:16 A. Yes, this e-mail is referring		
	222:17 to the pronto study.		
222:23 - 223:12	Chu, Karen 2022-12-16	00:00:46	KC7.112
	222:23 Q. And it looks like		
	222:24 Dr. Yancopoulos is saying about the		
	222:25 pronto data, that "Lucentis was not		
	223:01 lasting for two months which could		
	223:02 provide a major opportunity for VEGF-Trap		
	223:03 interval advantage."		
	223:04 Do you see that?		
	223:05 A. Yes, so this is an e-mail in		
	223:06 the string dated Tuesday, May 16th, 2006,		
	223:07 "Subject: Pronto Data."		
	223:08 Q. Okay. Was Dr. Yancopoulos's		
	223:09 assessment of the Lucentis pronto data		
	223:10 something that caused everybody to start		
	223:11 saying, okay, we know Lucentis can't go		


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DESIGNATION	SOURCE	DURATION	ID
	223:12 for more than two months?		
223:15 - 224:01	Chu, Karen 2022-12-16	00:00:36	KC7.113
	223:15 A. So we were -- I don't recall		
	223:16 specifically if this data in any way		
	223:17 translated to further discussions about		
	223:18 the dosing regimens planned in the VIEW 1		
	223:19 and VIEW 2 studies.		
	223:20 Q. Well, he says "This indeed may		
	223:21 provide us a major opportunity for		
	223:22 VEGF-Trap interval advantage."		
	223:23 Did you look at that and get		
	223:24 excited and say, yeah, it will? Or was		
	223:25 it more like whatever, and you just		
	224:01 continued on your merry way?		
224:04 - 224:23	Chu, Karen 2022-12-16	00:01:04	KC7.114
	224:04 A. We were excited about the		
	224:05 possibility of aflibercept having a		
	224:06 longer treatment interval, based on the		
	224:07 properties of the molecule itself, as		
	224:08 well as the emerging data from the		
	224:09 clinical development program.		
	224:10 I believe that Lucentis was		
	224:11 approved in 2006 and was, because of		
	224:12 their clinical trial results, slated to		
	224:13 become standard of care. So I think		
	224:14 that, you know, it wasn't specifically		
	224:15 any outcome from Lucentis trials that		
	224:16 made us excited, but we were certainly		
	224:17 monitoring the competitive landscape		
	224:18 closely.		
	224:19 Q. What was your -- to your		
	224:20 recollection, what was Regeneron's		
	224:21 rationale for why the VEGF-Trap		
	224:22 aflibercept molecule would be able to		
	224:23 last longer as compared to Lucentis?		
224:25 - 225:21	Chu, Karen 2022-12-16	00:01:13	KC7.115
	224:25 Q. Ranibizumab.		
	225:01 A. My understanding of Regeneron's		
	225:02 rationale is that first aflibercept is a		
	225:03 larger molecule, and as a result has a		

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DESIGNATION	SOURCE	DURATION	ID
	<p>225:04 longer half life than Lucentis does as</p> <p>225:05 well as the fact that we have a much,</p> <p>225:06 much higher binding affinity and other</p> <p>225:07 kinetic properties of binding to VEGF</p> <p>225:08 that we felt would be advantageous for</p> <p>225:09 aflibercept, and contribute to</p> <p>225:10 potentially a longer duration of action.</p> <p>225:11 Q. To date, as far as you're</p> <p>225:12 aware, has Regeneron ever validated that</p> <p>225:13 those two things, having a longer half</p> <p>225:14 life and increased binding affinity</p> <p>225:15 actually, is what's allowing aflibercept</p> <p>225:16 to be dosed at these longer intervals as</p> <p>225:17 compared to ranibizumab?</p> <p>225:18 A. So the evidence we have of the</p> <p>225:19 longer duration of action is from the</p> <p>225:20 clinical trial results, based on outcomes</p> <p>225:21 in the clinical studies.</p>		
226:15 - 227:02	<p>Chu, Karen 2022-12-16</p> <p>226:15 Q. If we can go to the front page</p> <p>226:16 of Defendant's Exhibit 220, you were also</p> <p>226:17 cc'd again on the e-mail string, this</p> <p>226:18 time on May 17th, 2006 from George</p> <p>226:19 Yancopoulos about the pronto data. And</p> <p>226:20 he was responding to an e-mail from Avner</p> <p>226:21 Ingerman.</p> <p>226:22 Do you see that?</p> <p>226:23 A. I do see the e-mail dated 17th</p> <p>226:24 May 2006, from George to Avner and</p> <p>226:25 others, where Avner is responding to an</p> <p>227:01 e-mail from -- or sorry, George is</p> <p>227:02 responding to an e-mail from Avner.</p>	00:00:45	KC7.116
227:20 - 227:24	<p>Chu, Karen 2022-12-16</p> <p>227:20 Q. Now, Avner was discussing, not</p> <p>227:21 just pronto, but also the MARINA and</p> <p>227:22 ANCHOR trials. Those were official</p> <p>227:23 Lucentis trials run by Genentech,</p> <p>227:24 right?</p>	00:00:15	KC7.117
228:01 - 228:13	<p>Chu, Karen 2022-12-16</p> <p>228:01 A. The MARINA and ANCHOR studies</p>	00:00:33	KC7.118

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DESIGNATION	SOURCE	DURATION	ID
	228:02 were the studies sponsored by Genentech 228:03 for Lucentis in neovascular AMD. 228:04 Q. "In discussing those trials, it 228:05 may suggest that the so-called clinician 228:06 PRN practice following 'induction dose' 228:07 is as good as monthly injections for at 228:08 least the first year, and that is 228:09 probably the take home message that the 228:10 market will follow." 228:11 Do you see that? 228:12 A. I do see that sentence in the 228:13 e-mail.		
228:14 - 229:07	Chu, Karen 2022-12-16 228:14 Q. Do you know whether anybody 228:15 agreed or disagreed with Avner's 228:16 assessments that that's how clinicians 228:17 would likely respond to this data? 228:18 A. Sorry, could you just restate 228:19 the question? 228:20 Q. Sure. Do you recall within 228:21 Regeneron whether people agreed or 228:22 disagreed with Dr. Ingerman's view that 228:23 clinicians would probably perceive the 228:24 clinician PRN practice following the 228:25 induction dose was as good as monthly 229:01 injections for at least the first year, 229:02 when it came to ranibizumab? 229:03 A. My understanding is that Dr. 229:04 Yancopoulos strongly disagreed with the 229:05 concept that PRN dosing was as good as 229:06 monthly dosing as studied in the ANCHOR 229:07 and MARINA trials.	00:00:51	KC7.119
 Clear			
232:06 - 232:09	Chu, Karen 2022-12-16 232:06 Q. So why did Dr. Yancopoulos 232:07 agree to any type of PRN-type dosing in 232:08 the later part of the VIEW studies, if he 232:09 was adamant that it wasn't going to work?	00:00:13	KC7.120
232:12 - 232:18	Chu, Karen 2022-12-16 232:12 A. My recollection is that the 232:13 most critical portion of the study and	00:00:22	KC7.121



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DESIGNATION	SOURCE	DURATION	ID
	232:14 the portion of the studies that defined		
	232:15 our initial-dosing regimen in our		
	232:16 application to the FDA was the one-year		
	232:17 data from the VIEW 1 and the VIEW 2		
	232:18 studies.		
245:02 - 245:20	Chu, Karen 2022-12-16	00:00:47	KC7.122
	245:02 (Defendant's Exhibit 222,		
	245:03 Document Bates stamped		
	245:04 RGN-EYLEA-MYLAN-00523302 through 304,		
	245:05 was so marked for identification, as		
	245:06 of this date.)		
 D222.1	245:07 Q. Let me know when you have that.		
	245:08 A. I have Exhibit 222 in front of		
	245:09 me.		
	245:10 Q. And can you confirm that you		
	245:11 were forwarded by Jesse Cedarbaum on or		
	245:12 around September 5, 2006, a message -- an		
	245:13 e-mail message involving Jesse Cedarbaum		
	245:14 and Phil Rosenfeld, dated September is,		
	245:15 2006?		
	245:16 A. So I see the second sort of		
	245:17 message in this string as a forwarded		
	245:18 message from Jesse Cedarbaum dated the		
	245:19 5th of September 2006. It was primarily		
	245:20 to Len Schleifer, but I am copied.		
247:10 - 248:07	Chu, Karen 2022-12-16	00:01:03	KC7.123
	247:10 Q. Okay. Well, one of the things		
	247:11 that Dr. Rosenfeld told Jesse Cedarbaum		
	247:12 which was passed on to you, is he said		
	247:13 "You have a chance of using a 4-milligram		
	247:14 dose which is a 4-fold molar excess over		
	247:15 Lucentis with a good chance of better		
	247:16 durability. The more I thought about		
	247:17 your dilemma, I would go with the 2		
	247:18 milligram and 4 milligram dose every two		
	247:19 weeks or four weeks for a fixed number of		
	247:20 doses. Then see the patients back every		
	247:21 four weeks and dose as needed. With the		
	247:22 competition closing in on you, I think		
	247:23 your only choice is to go for the gold		


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DESIGNATION	SOURCE	DURATION	ID
	247:24 and design a Phase III now."		
	247:25 Do you see that?		
	248:01 A. I do see where the e-mail		
	248:02 states that phrasing.		
	248:03 Q. Do you remember internally ever		
	248:04 discussing the possibility of using a 2		
	248:05 milligram dose in your Phase III clinical		
	248:06 trials before Jesse Cedarbaum got this		
	248:07 feedback from Dr. Rosenfeld?		
248:11 - 249:02	Chu, Karen 2022-12-16	00:00:41	KC7.124
	248:11 A. I don't recall specifically the		
	248:12 timing of the discussions regarding dose		
	248:13 selection for Phase III.		
	248:14 Q. If you look at the top e-mail,		
	248:15 this is Len Schleifer saying this is Phil		
	248:16 Rosenfeld's view of our diabetes		
	248:17 opportunity. And then he says in the		
	248:18 second line Jesse showed him the		
	248:19 four-week, five-patient DME data, which		
	248:20 showed a nice response at four weeks and		
	248:21 then a small loss by six weeks.		
	248:22 Do you see that?		
	248:23 A. I do see where that sentence		
	248:24 is.		
	248:25 Q. Do you know which clinical		
	249:01 trial data that was, that was the four		
	249:02 week, five-patient DME?		
249:07 - 249:10	Chu, Karen 2022-12-16	00:00:09	KC7.125
 Clear	249:07 A. My assessment is that is		
	249:08 referring to the 0512 study, which was		
	249:09 the Phase I study with intravitreal		
	249:10 aflibercept in DME.		
250:14 - 251:02	Chu, Karen 2022-12-16	00:00:43	KC7.126
	250:14 Q. Is it possible -- well, do you		
	250:15 recall who was responsible for designing		
	250:16 the Phase II DME study that's referred to		
	250:17 here in example 5 of the '601 patent?		
	250:18 A. So again, I don't recall		
	250:19 specifically who was involved at that		
	250:20 time, but it would have included members		

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DESIGNATION	SOURCE	DURATION	ID
	250:21 of the clinical development group, 250:22 including myself and Dr. Vitti. Alyson 250:23 Berlinger, I believe, was the study 250:24 director. We consulted with our 250:25 regulatory colleagues, as well as senior 251:01 management and others regarding the study 251:02 design.		
257:13 - 257:15	Chu, Karen 2022-12-16	00:00:16	KC7.127
 D224.1	257:13 I would like to mark as DX 224, 257:14 a document with production numbers 257:15 RGN-EYLEA-MYLAN 635438 through 449.		
258:03 - 258:04	Chu, Karen 2022-12-16 258:03 Q. What was the purpose of the 258:04 global project team?	00:00:03	KC7.128
258:07 - 258:15	Chu, Karen 2022-12-16 258:07 A. The global project team was a 258:08 cross-functional team established as part 258:09 of the Bayer collaboration to oversee 258:10 development for aflibercept with our 258:11 co-development partner. 258:12 Q. And did the Bayer people have 258:13 input into what your Phase III clinical 258:14 trial would look like in terms of dosing 258:15 regimen?	00:00:27	KC7.129
258:18 - 258:23	Chu, Karen 2022-12-16 258:18 A. As part of the Bayer 258:19 collaboration, they had input into 258:20 aspects of the clinical development 258:21 planning, including study designs. But 258:22 the ultimate scientific decision-making 258:23 remained with Regeneron.	00:00:16	KC7.130
268:12 - 269:05	Chu, Karen 2022-12-16 268:12 (Defendant's Exhibit 226, 268:13 Document Bates stamped RGN-EYLEA-MYLAN 268:14 631170 through 631175, was so marked 268:15 for identification, as of this date.) 268:16 Q. Can you confirm on the first 268:17 page of DX 226, there is an e-mail from 268:18 you dated March 29th, 2007 to Jesse	00:00:51	KC7.131
 D226.1			

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DESIGNATION	SOURCE	DURATION	ID
	<p>268:19 Cedarbaum, where you were forwarding the</p> <p>268:20 conversation on VEGF-Trap executive</p> <p>268:21 summary of scientific advice meeting with</p> <p>268:22 Swedish MPA?</p> <p>268:23 A. Yes, so I have Exhibit 226 in</p> <p>268:24 front of me. And I see the second e-mail</p> <p>268:25 in the string is a forwarded e-mail from</p> <p>269:01 me to Jesse Cedarbaum on the 29th of</p> <p>269:02 March 2007 with the "Subject: VEGF-Trap</p> <p>269:03 Executive Summary of Scientific Advice</p> <p>269:04 Meeting with Swedish MPA," on the 28th</p> <p>269:05 March '07.</p>		
269:20 - 270:05	Chu, Karen 2022-12-16	00:00:39	KC7.132
	<p>269:20 Q. Well, if we take a look at</p> <p>269:21 Jesse Cedarbaum's responsive e-mail, can</p> <p>269:22 you confirm that that's dated March 29th,</p> <p>269:23 2007, and went to individuals such as</p> <p>269:24 Avner Ingerman and George Yancopoulos?</p> <p>269:25 A. Yes. The first e-mail in the</p> <p>270:01 string here is dated Thursday, March</p> <p>270:02 29th, 2007 forwarding VEGF-Trap executive</p> <p>270:03 summary of scientific advice meeting with</p> <p>270:04 Swedish MPA from Jesse Cedarbaum to Peter</p> <p>270:05 Powchik, Avner Ingerman and others.</p>		
275:04 - 275:10	Chu, Karen 2022-12-16	00:00:20	KC7.133
 Clear	<p>275:04 Q. Sure. Is Regeneron aware of</p> <p>275:05 anyone who put together for the Phase III</p> <p>275:06 VIEW 1, VIEW 2 clinical trial design, a</p> <p>275:07 2-milligram dose and an eight-week dosing</p> <p>275:08 interval before Dr. Cedarbaum's e-mail</p> <p>275:09 we're looking at here of March 29th,</p> <p>275:10 2007?</p>		
275:14 - 275:23	Chu, Karen 2022-12-16	00:00:31	KC7.134
	<p>275:14 A. I don't recall exactly the</p> <p>275:15 discussions around the eight-week</p> <p>275:16 interval or whether Dr. Cedarbaum by</p> <p>275:17 virtue of this e-mail was the first</p> <p>275:18 person to suggest the eight-week</p> <p>275:19 interval.</p> <p>275:20 Q. Can Regeneron identify anybody</p>		

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DESIGNATION	SOURCE	DURATION	ID
	275:21 who did it, who put those two pieces 275:22 together, a 2- milligram dose/eight-week 275:23 interval before Dr. Cedarbaum did?		
276:05 - 276:09	Chu, Karen 2022-12-16 276:05 A. I can't speak on behalf of 276:06 Regeneron, I can only speak for myself, 276:07 and I do not recall a person who 276:08 specifically put that together in this 276:09 time frame.	00:00:10	KC7.135
276:18 - 277:12	Chu, Karen 2022-12-16 276:18 (Defendant's Exhibit 227, 276:19 Document Bates stamped RGN-EYLEA-MYLAN 276:20 631182, was so marked for 276:21 identification, as of this date.) 276:22 Q. And Ms. Chu, if you can confirm 276:23 this is an e-mail from Kathleen Lawrence 276:24 to you and others, dated Monday, April 276:25 2nd, 2007? 277:01 A. So I have Exhibit 227 in front 277:02 of me with a date April 2nd, 2007. 277:03 "Subject: Decisions and Actions, AMD 277:04 Phase III Program Meeting - April 2nd, 277:05 '07" from Kathleen Lawrence, and I am a 277:06 recipient of this e-mail. 277:07 Q. Do you recall if you were a 277:08 participant in this AMD Phase III program 277:09 meeting on April 2nd, 2007? 277:10 A. I don't recall this specific 277:11 meeting. But in my role, I would have 277:12 attended such meetings.	00:00:53	KC7.136
279:16 - 279:21	Chu, Karen 2022-12-16 279:16 Q. Okay. Now, the third bullet 279:17 point down says -- this is for the first 279:18 time we see this: "2 milligrams Q8 weeks 279:19 with PIER lead-in (dose monthly for first 279:20 three months)." 279:21 Do you see that?	00:00:13	KC7.137
279:24 - 280:06	Chu, Karen 2022-12-16 279:24 A. I do see the third bullet	00:00:20	KC7.138

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DESIGNATION	SOURCE	DURATION	ID
	279:25 states: "2 milligram Q8 weeks with PIER 280:01 lead-in (dose monthly for first three 280:02 months)." 280:03 Q. All right. Who put, who 280:04 actually assembled that particular 280:05 regimen as one of the arms to consider 280:06 for the VIEW 1, Phase III clinical trial?		
280:16 - 280:17	Chu, Karen 2022-12-16	00:00:06	KC7.139
	280:16 A. I don't recall specifically who 280:17 proposed that dosing regimen.		
285:09 - 286:04	Chu, Karen 2022-12-16	00:00:44	KC7.140
	285:09 (Defendant's Exhibit 228, 285:10 Document Bates stamped RGN-EYLEA-MYLAN 285:11 526319 through 321, was so marked for 285:12 identification, as of this date.) 285:13 Q. This is an e-mail dated 285:14 Wednesday, April 4th, 2007, from George 285:15 Yancopoulos to Darlene Jody; do you have 285:16 that? 285:17 A. Yes, so I have Exhibit 228, 285:18 dated Wednesday, April 4th, 2007 with the 285:19 "Subject: Summary of Issues For Call, 285:20 AMD P3 Planning," from George Yancopoulos 285:21 to Darlene Jody. 285:22 Q. Now, earlier in the deposition 285:23 today, you referred to an e-mail that you 285:24 recalled seeing that you discussed with 285:25 George Yancopoulos, was this one of the 286:01 e-mails? 286:02 A. Yes. This was the e-mail I 286:03 reviewed in preparation for this 286:04 deposition.		
287:01 - 287:05	Chu, Karen 2022-12-16	00:00:12	KC7.141
	287:01 Q. Sure. In your capacity as 287:02 Regeneron's 30(b)(6) witness, what's the 287:03 significance of this April 4th, 2007 287:04 e-mail from George Yancopoulos to Darlene 287:05 Jody?		
287:11 - 287:17	Chu, Karen 2022-12-16	00:00:27	KC7.142


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DESIGNATION	SOURCE	DURATION	ID
	287:11 A. So this e-mail from George to 287:12 Darlene Jody, who was a senior executive 287:13 responsible for the Bayer collaboration 287:14 with us, is communicating the Regeneron 287:15 proposal and decisions around the optimal 287:16 designs for the VIEW 1 and the VIEW 2 287:17 trials.		KC7.142
289:21 - 290:01	Chu, Karen 2022-12-16 289:21 Is there anywhere 289:22 near where Dr. Yancopoulos is 289:23 advocating for starting off the 289:24 regimen with three monthly 2-milligram 289:25 doses, and then going to the 290:01 eight-week interval?"]	00:00:11	KC7.143
290:03 - 290:06	Chu, Karen 2022-12-16 290:03 A. In my review of this e-mail, I 290:04 do not see that it includes mention of 290:05 the three initial monthly doses for the 290:06 Q8 week group.	00:00:13	KC7.144
290:13 - 291:02	Chu, Karen 2022-12-16 290:13 (Defendant's Exhibit 229, 290:14 Document Bates stamped RGN-EYLEA-MYLAN 290:15 00526220 through 223, was so marked 290:16 for identification, as of this date.) 290:17 Q. And did you review this e-mail 290:18 in connection with preparing for your 290:19 deposition in this case? 290:20 A. I have Exhibit 229, dated 290:21 Tuesday, April 10th, 2007, "Subject: 290:22 Forward: VEGF-Trip-Eye GDP for 290:23 REGN/Bayer," from George Yancopoulos to 290:24 Darlene Jody. 290:25 And, no, I did not review this 291:01 e-mail in preparation for today's 291:02 deposition.	00:00:28	KC7.145
 D229.1			
293:13 - 293:18	Chu, Karen 2022-12-16 293:13 Q. Right. And just to be clear, 293:14 that dosing regimen of VEGF-Trip, 2 293:15 milligrams dosed every four weeks times	00:00:24	KC7.146


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DESIGNATION	SOURCE	DURATION	ID
	293:16 three, then Q8 thereafter, that was a 293:17 dosing regimen drafted by Bob Terifay, 293:18 right --		
293:21 - 293:21	Chu, Karen 2022-12-16 293:21 Q. -- in this e-mail string?	00:00:01	KC7.147
294:04 - 294:11	Chu, Karen 2022-12-16 294:04 A. My recollection of how 294:05 decisions were made at Regeneron at the 294:06 time was that this proposal would not 294:07 have been discussed at the joint 294:08 development committee meeting unless 294:09 George had had input and agreed that this 294:10 was the proposal for the Phase III 294:11 studies.	00:00:22	KC7.148
294:12 - 294:15	Chu, Karen 2022-12-16 294:12 Q. Well, if George Yancopoulos had 294:13 signed off on that as the dosing regimen, 294:14 why is he actually arguing against that 294:15 then on April 10th, 2007 to Darlene Jody?	00:00:16	KC7.149
294:20 - 294:24	Chu, Karen 2022-12-16 294:20 A. My read of the e-mail didn't 294:21 give me the impression that George was 294:22 arguing against it. If you can point to 294:23 what specifically you're referring to, I 294:24 would be happy to review it again?	00:00:12	KC7.150
295:08 - 295:15	Chu, Karen 2022-12-16 295:08 Q. Right. Nowhere in this April 295:09 10th, 2007 e-mail to Darlene Jody is 295:10 George Yancopoulos advocating for the 295:11 dosing regimen that Bob Terifay 295:12 identified as an aflibercept dose of 2 295:13 milligrams dosed every four weeks three 295:14 times, followed by a dosing every 295:15 eight-week regimen, true?	00:00:26	KC7.151
295:19 - 295:23	Chu, Karen 2022-12-16 295:19 A. I can't speak to George's 295:20 intent. 295:21 Q. It's not a question of intent. 295:22 It's a question of what's written here in	00:00:08	KC7.152


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DESIGNATION	SOURCE	DURATION	ID
	295:23 the e-mail.		
296:04 - 296:13	Chu, Karen 2022-12-16	00:00:24	KC7.153
	296:04 A. It is correct that that is what		
	296:05 is written in this e-mail is the sentence		
	296:06 that is based on both U.S. and EU		
	296:07 regulatory interactions. Such a study		
	296:08 will definitively fulfill their		
	296:09 requirements as one of the studies while		
	296:10 final dose/interval for the fourth arm of		
	296:11 this study are a bit unsettled, we could		
	296:12 use 2Q8 for now as the most likely		
	296:13 dose/interval."		
296:19 - 297:10	Chu, Karen 2022-12-16	00:00:49	KC7.154
	296:19 (Defendant's Exhibit 230,		
	296:20 Document Bates stamped RGN-EYLEA-MYLAN		
	296:21 526332 through 334, was so marked for		
	296:22 identification, as of this date.)		
 D230.2	296:23 Q. I would like you to take a look		
	296:24 at the e-mail from Robert Terifay that is		
	296:25 dated April 17th, 2007 to George		
	297:01 Yancopoulos, Len Schleifer, Peter		
	297:02 Powchik, Avner Ingerman and Neil Stahl,		
	297:03 "Subject: U.S. Commercial Concerns		
	297:04 Regarding the Bayer Compromise."		
	297:05 Let me know when you are there.		
	297:06 A. I see the e-mail beginning on		
	297:07 page -- the second page of this e-mail,		
	297:08 the last three numbers are 333 from		
	297:09 Robert Terifay, dated 17th of April 2007		
	297:10 to George Yancopoulos with others copied.		
298:20 - 298:24	Chu, Karen 2022-12-16	00:00:09	KC7.155
	298:20 Q. Do you have an understanding as		
	298:21 to why Robert Terifay would have been		
	298:22 involved in these discussions over		
	298:23 selecting the Phase III clinical trial		
	298:24 regimen?		
299:03 - 299:08	Chu, Karen 2022-12-16	00:00:16	KC7.156
	299:03 A. Bob Terifay was the head of our		
	299:04 commercial group at that time. And as		




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DESIGNATION	SOURCE	DURATION	ID
	299:05 such he would have had input on the		
	299:06 clinical development program as it		
	299:07 related to commercial viability and		
	299:08 commercial considerations.		
306:03 - 306:17	Chu, Karen 2022-12-16	00:00:55	KC7.157
	306:03 Q. Again, if we look at DX 230,		
	306:04 the top e-mail, dated Wednesday, April		
	306:05 8th, 2007 from Robert Terifay, to Peter		
	306:06 Powchik, Len Schleifer and George		
	306:07 Yancopoulos, Avner Ingerman, Neil Stahl		
	306:08 and Laura Pologe, the second paragraph,		
	306:09 he says "From an 0508 perspective, it		
	306:10 appears that 2Q8 (especially if initiated		
	306:11 as a 2Q4 loading dose for the first three		
	306:12 months) can offer similar improvement in		
	306:13 visual acuity and maintain that level		
	306:14 similarly to 2Q4 and Lucentis Q4. This		
	306:15 would be a major win for VT," referring		
	306:16 to aflibercept, "versus Ran," referring		
	306:17 to ranibizumab "in Phase III," right?		
306:21 - 307:05	Chu, Karen 2022-12-16	00:00:28	KC7.158
	306:21 A. I see the e-mail dated		
	306:22 Wednesday, April 18th, 2007 from Bob		
	306:23 Terifay to Peter Powchik and others with		
	306:24 the first bullet stating that "From an		
	306:25 0508 perspective, it appears that 2Q8		
	307:01 (especially if initiated as a 2Q4 loading		
	307:02 dose for the first three months) can		
	307:03 offer similar improvement in visual		
	307:04 acuity and maintain that level similarly		
	307:05 to 2Q4 and Lucentis Q4."		
308:22 - 309:13	Chu, Karen 2022-12-16	00:00:58	KC7.159
 Clear	308:22 Q. '601 patent, in Claim 1?		
	308:23 A. So in patent '601, Claim 1,		
	308:24 it's stated that "A method for treating		
	308:25 age-related macular degeneration in a		
	309:01 patient in either comprising intravitally		
	309:02 administering an effective amount of		
	309:03 aflibercept, which is 2 milligrams		
	309:04 approximately every four weeks for the		

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DESIGNATION	SOURCE	DURATION	ID
	309:05 first three months, followed by 2		
	309:06 milligrams approximately once every eight		
	309:07 weeks or once every two months."		
	309:08 Q. Right. And that dosing regimen		
	309:09 matches the one that Robert Terifay is		
	309:10 advocating for in DX 230, his April 8th,		
	309:11 2007 e-mail of 2Q8 initiated as a 2Q4		
	309:12 loading dose for the first three months,		
	309:13 right?		
309:18 - 309:20	Chu, Karen 2022-12-16	00:00:09	KC7.160
	309:18 A. My interpretation of the e-mail		
	309:19 from Bob Terifay is that he is describing		
	309:20 the same dosing regimen.		
310:11 - 311:04	Chu, Karen 2022-12-16	00:00:56	KC7.161
	310:11 (Defendant's Exhibit 231,		
	310:12 Document Bates stamped RGN-EYLEA-MYLAN		
	310:13 528309 through 316, was so marked for		
	310:14 identification, as of this date.)		
	310:15 BY MS. MAZZOCHI:		
 D231.1	310:16 Q. Ms. Chu, can you confirm that		
	310:17 this document DX 231 is an e-mail to you		
	310:18 and others from Avner Ingerman, dated		
	310:19 Thursday, August 2nd, 2007?		
	310:20 A. So I have Exhibit 231, which is		
	310:21 an e-mail dated August 2nd, 2007. The		
	310:22 subject is "E-Mailing: NCT00509795.HTM"		
	310:23 from Avner Ingerman, and I am copied on		
	310:24 this e-mail.		
	310:25 Q. Okay. And in the text of this		
	311:01 e-mail, is he providing the information		
	311:02 that was published at clinicaltrials.gov		
	311:03 in connection with clinicaltrials.gov		
	311:04 identifier NCT00509795?		
311:05 - 311:09	Chu, Karen 2022-12-16	00:00:22	KC7.162
	311:05 A. So my review of this e-mail		
	311:06 this minute indicates that this is		
	311:07 Dr. Ingerman forwarding the		
	311:08 clinicaltrials.gov posting of the VIEW 1		
	311:09 Phase III study.		

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DESIGNATION	SOURCE	DURATION	ID
316:22 - 317:06	Chu, Karen 2022-12-16 316:22 Q. To your prior point, can you 316:23 take a look at the last page of 316:24 Defendant's Exhibit 231? 316:25 Can you confirm above the last 317:01 three lines it says "clinicaltrials.gov 317:02 processed this record on August 1st, 317:03 2007"? 317:04 A. I do see where it says, 317:05 "clinicaltrials.gov processed this record 317:06 on August 1st, 2007."	00:00:33	KC7.163
318:17 - 318:21  Clear	Chu, Karen 2022-12-16 318:17 Q. And under the inclusion 318:18 criteria, the signed informed consent, 318:19 were patients obligated to keep secret 318:20 their participation in Regeneron's 318:21 clinical trials?	00:00:12	KC7.164
318:24 - 319:01	Chu, Karen 2022-12-16 318:24 A. No. Patients were not 318:25 obligated in any way to keep their 319:01 participation in the study a secret.	00:00:06	KC7.165
319:15 - 319:18  D232.1	Chu, Karen 2022-12-16 319:15 (Defendant's Exhibit 232, 319:16 Document Bates stamped RGN-EYLEA-MYLAN 319:17 526744, was so marked for 319:18 identification, as of this date.)	00:00:00	KC7.166
319:21 - 320:02	Chu, Karen 2022-12-16 319:21 Q. Do you have it now? 319:22 A. I have Exhibit 232 in front of 319:23 me. 319:24 Q. And are identified as a 319:25 co-author on this presentation? 320:01 A. This appears to be a poster and 320:02 I am listed as an author.	00:00:20	KC7.167
323:04 - 323:07  Clear	Chu, Karen 2022-12-16 323:04 Q. And why did Regeneron want to 323:05 present its data involving aflibercept 323:06 and its clinical trial data at these 323:07 scientific conferences?	00:00:11	KC7.168

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DESIGNATION	SOURCE	DURATION	ID
323:11 - 323:16	Chu, Karen 2022-12-16 323:11 A. So it's scientific practice 323:12 that we share our results in the context 323:13 of Scientific Congresses and 323:14 publications. And thus this was part of 323:15 this scientific exchange that we were 323:16 contributing to.	00:00:19	KC7.169
337:09 - 337:21	Chu, Karen 2022-12-16 337:09 Could you try to find in your 337:10 stack what they labeled as Defense 337:11 Exhibit 232.  D232.1 337:12 A. Okay. I have Exhibit 232. 337:13 Q. Ms. Mazzochi, had some 337:14 questions for you about Defense Exhibit 337:15 232. 337:16 Do you know if this is a draft 337:17 or a final document? 337:18 A. I don't know if Exhibit 232 is 337:19 a draft or final.  Clear 337:20 Q. Okay. You can put that 337:21 document aside.	00:00:37	KC7.170
340:09 - 340:13	Chu, Karen 2022-12-16 340:09 Q. On Defendant's Exhibit 234, do  D234.1 340:10 you have a habit of letting people put 340:11 your name on documents or scientific 340:12 presentations that you don't review and 340:13 approve?	00:00:13	KC7.171
340:17 - 340:25	Chu, Karen 2022-12-16 340:17 A. No, if I am an author, I 340:18 definitely would have reviewed and 340:19 provided input into the content of the 340:20 document. 340:21 Q. All right. And you would have 340:22 made sure that any statements, at least 340:23 to the extent that they were within your 340:24 area of operation, were truthful and 340:25 accurate, right?	00:00:17	KC7.172
341:03 - 341:04	Chu, Karen 2022-12-16  Clear 341:03 A. Yes, part of my review would be	00:00:04	KC7.173

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DESIGNATION	SOURCE	DURATION	ID
	341:04 for accuracy.		
347:09 - 347:12	Chu, Karen 2022-12-16	00:00:06	KC7.174
	347:09 Q. And when you were assembling		
	347:10 that information, that was done with an		
	347:11 understanding that the data would become		
	347:12 public, right?		
347:17 - 347:20	Chu, Karen 2022-12-16	00:00:10	KC7.175
	347:17 A. Sure. So in assembling data		
	347:18 for the purpose of a presentation at the		
	347:19 Scientific Congress, it was understood		
	347:20 that that data would become public.		

Our Designations	01:02:42
Their Designations	00:12:05
TOTAL RUN TIME	01:14:48



Documents linked to video:

D200
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D229

D230

D231

D232

D234